



**SERS Retirement Board
Technology Committee
Meeting Agenda
June 18, 2026
12:30 P.M.**

Join Zoom Meeting

<https://ohsers.zoom.us/j/92236414909?pwd=UKhOeu1r0XB4qkblaxmZaPeJDMgEq8.1>

Meeting ID: 922 3641 4909 **Password:** 12345

To join by phone, dial: +1 929 205 6099 US and enter the Meeting ID: **922 3641 4909** and Password: **12345** when prompted.

1. Roll call
2. Approval of **April 16, 2026**, Special Technology Committee Minutes (R)
3. Opening Remarks
4. Information Technology Quarterly Update
5. Risk Management Quarterly Update
6. Executive Session pursuant to R.C. 121.22(G)(6) to discuss a security matter (R) (*if needed*)
7. Upcoming Technology Committee Meetings
 - Future Topics
 - Next Meeting Date(s)
8. Adjournment

**SERS Technology
Committee Meeting
June 18, 2026**

_____ A.M.

Roll Call:

Frank Weglarz	_____
Matthew King	_____
Aimee Russell	_____
Daniel Wilson	_____

**APPROVAL OF MINUTES OF THE TECHNOLOGY COMMITTEE MEETING HELD ON
APRIL 16, 2026**

_____ moved and _____ seconded the motion to approve the minutes of the Special Technology Committee meeting held on April 16, 2026.

Upon roll call, the vote was as follows:

<u>ROLL CALL:</u>	<u>YEA</u>	<u>NAY</u>	<u>ABSTAIN</u>
Matthew King	_____	_____	_____
Aimee Russell	_____	_____	_____
Daniel Wilson	_____	_____	_____
Frank Weglarz	_____	_____	_____

School Employees Retirement System	<h1>TECHNOLOGY COMMITTEE MINUTES</h1>		
Preparer	Megan Robertson	Meeting Date:	April 16, 2026
Committee Chair	Frank Weglarz		
Agenda	<ol style="list-style-type: none"> 1. Roll call (R) 2. Approval of December 18, 2025, minutes (R) 3. Opening Remarks 4. AI Update 5. Information Technology Quarterly Update 6. Risk Management Quarterly Update 7. Executive Session pursuant to R.C. 121.22(G)(6) to discuss a security matter (R) 8. Upcoming Technology Committee Meetings <ul style="list-style-type: none"> o Future Topics o Next meeting Date(s) 9. Adjournment 		
Discussion	<p>The SERS Special Technology Committee meeting began in open session at 8:30 a.m.</p> <p><u>Roll Call</u></p> <p>The SERS Special Technology Committee began with a roll call. The committee roll call was as follows: Present: Matthew King, Aimee Russell, Frank Weglarz. Absent: Daniel Wilson. Committee Chair, Frank Weglarz, excused Mr. Wilson's absence.</p> <p>Also in attendance was the representative of the Ohio Attorney General, Mary Therese Bridge and members of the public. Board Members: Becky Roe and Jeanine Alexander. Staff Members: Colette Barricks, Jay Patel, Joe Marotta, Richard Stensrud, Karen Roggenkamp, Nicole Whitacre, Marni Hall, Pam Burton, Jennifer Chao, and Megan Robertson. Guests attended virtually on Zoom.</p> <p><u>Approval of Minutes (R)</u></p> <p>Aimee Russell moved, and Matthew King seconded the motion to approve the minutes of the Technology Committee meeting held on December 18, 2025. Upon roll call, the vote was as follows: Yea: Matthew King, Aimee Russell, Frank Weglarz. Absent: Daniel Wilson. The motion carried.</p> <p><u>AI Update</u></p> <p>SERS' Deputy Executive Director, Karen Roggenkamp, provided opening comments regarding the agenda, beginning with an AI Update. Ms. Roggenkamp introduced Colette Barricks, SERS' Chief Risk Officer, who went over core principles and governance process with AI usage at SERS. Ms. Barricks introduced SERS' Chief Technology Officer, Jay Patel, who shared SERS' AI Opportunities with the committee. Mr. Patel discussed GenAI tools currently being adopted and tested at SERS.</p> <p>Mr. Patel continued his presentation explaining the statistics of Microsoft Copilot Usage at SERS and the future of AI literacy at SERS.</p>		

	<p>There were brief questions and comments from the committee.</p> <p><u>Information Technology (IT) Quarterly Update</u></p> <p>Mr. Patel shared a Technology Roadmap (TRM) update on FY2026 Infrastructure projects and FY2026 SMART projects. Mr. Patel continued with a high-level look at several Infrastructure projects that are in progress. He shared a detailed update on the newly deployed eSERS live chat. Mr. Patel highlighted several SMART projects and metrics associated with their progress and success.</p> <p>Mr. Patel continued his presentation with a review of the timeline for next iteration of the continued Technology Roadmap known as TRM 2.0.</p> <p>With no questions, the committee thanked Mr. Patel for his presentation. This concluded Mr. Patel's IT update.</p> <p><u>Risk Management Quarterly Update</u></p> <p>Ms. Barricks, shared FY2026 third quarter highlights for the Enterprise Risk Management (ERM) department.</p> <p>There were no questions from the committee.</p> <p><u>Executive Session pursuant to R.C. 121.22(G)(6) to discuss a security matter (R)</u></p> <p>Matthew King moved, and Aimee Russell seconded the motion that the Technology Committee convene in Executive Session pursuant to R.C. 121.22(G)(6) to discuss a security matter. Upon roll call, the vote was as follows: Yea: Matthew King, Aimee Russell, Frank Weglarz. Absent: Daniel Wilson. The motion carried.</p> <p>The committee convened in Executive Session at 9:02 a.m.</p> <p>The committee returned to open session at 9:07 a.m.</p> <p><u>Upcoming Technology Committee Meetings – Future Topics and Next Meeting Dates</u></p> <p>The committee had no questions or future topic requests.</p> <p>The next regular Technology Committee meeting will be held Thursday, June 18, 2026, at 12:30 pm.</p> <p>Technology Committee Chair, Frank Weglarz, adjourned the meeting at 9:07 a.m.</p>		
	Action Items	Assigned Person	Due Date
Action Items	n/a		

Frank Weglarz, Committee Chair

Richard Stensrud, Secretary



TECHNOLOGY COMMITTEE

June 18, 2026

Agenda



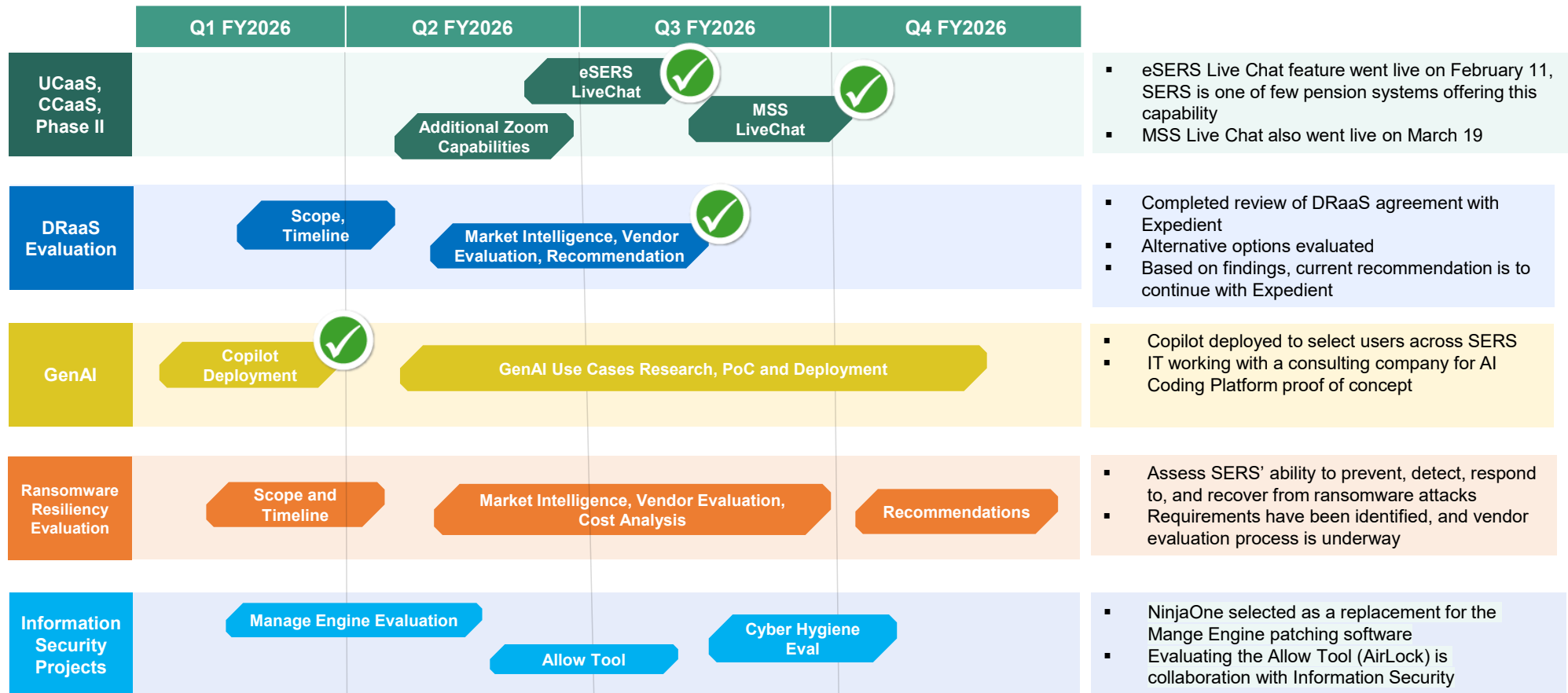
- **Approval of Prior Meeting Minutes**
- **Opening Remarks (Karen Roggenkamp)**
- **Information Technology Q4 (Jay Patel)**
- **Risk Management Q4 (Colette Barricks)**
- **Questions/Future Topics**
- **Supplemental Materials**
 - **Technology Roadmap Budget**
 - **Information Security Metrics**



Information Technology

Q4

Technology Roadmap – FY2026 Infrastructure Projects



Technology Roadmap – FY2026 SMART Projects

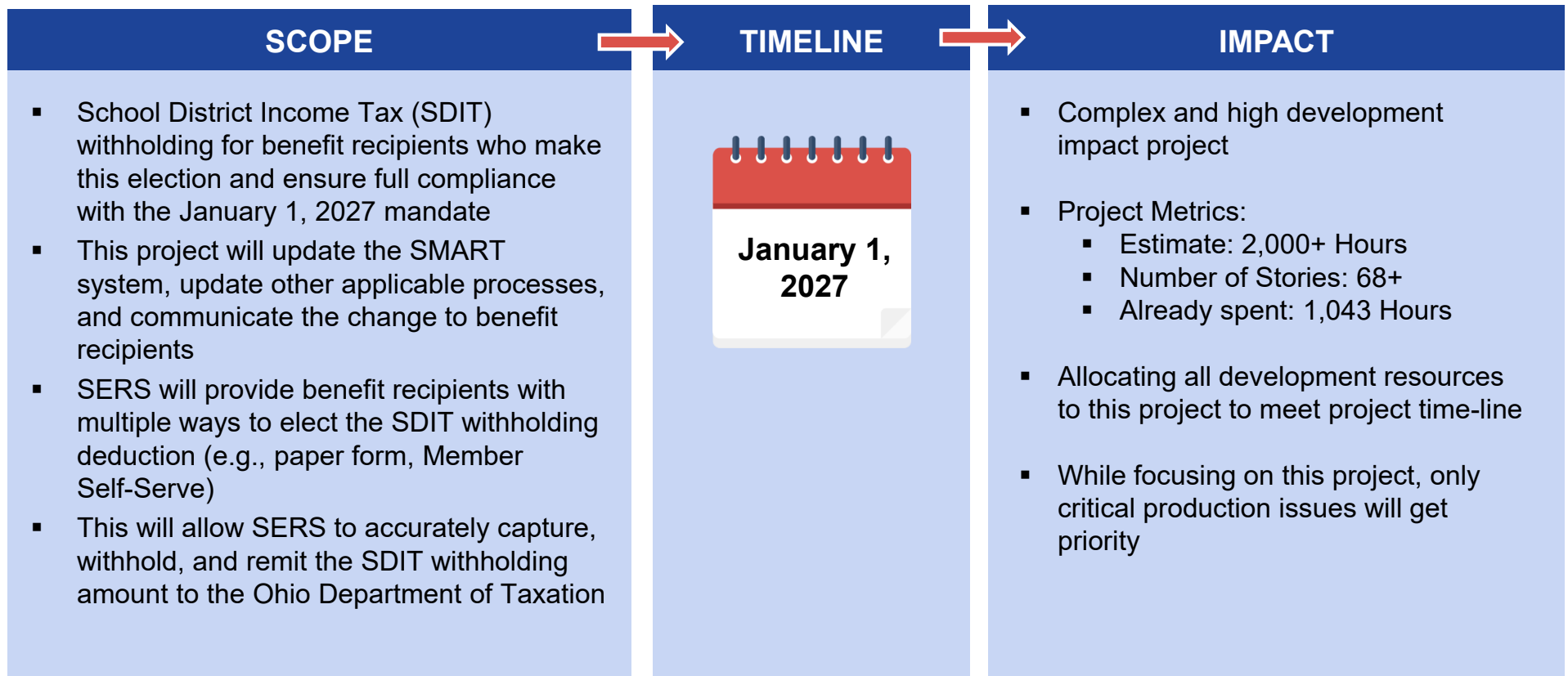


	Q1 FY2026	Q2 FY2026	Q3 FY2026	Q4 FY2026	
Online Refund (Socure)		Discovery and SOW	Develop and Deploy		<ul style="list-style-type: none"> Goal of the project was to activate Socure in a “decision mode” with stepped-up security Project was successfully deployed in production. Member Services is working through operational edge use cases.
Delivery (MS Office)	Discovery and Analysis	Testing and Deployment			<ul style="list-style-type: none"> SMART has over 700 correspondence generated by MS Office 2016 Since Office 2016 is depreciated, this project will migrate them to the latest version Project was deployed in production in February
Web Content Accessibility Compliance		Assessment and Requirements	Conversion, Training and Deployment		<ul style="list-style-type: none"> Ensure all web content on SERS portals complies with the Federal WCAG as mandated by the DOJ Project was deployed in production on schedule just to find out DOJ moved the timeline by one year.
School District Tax Withholding (Mandate)			Discovery and Requirements	Requirements and Development	<ul style="list-style-type: none"> Mandate from the State of Ohio must be completed by December 2026 This a large and complex project which will require all development resources.
TOS Replacement	Discovery and Design	Development and Deployment			<ul style="list-style-type: none"> Mandate replacing TOS with Huntington Bank project initiated with requirements, design, and vendor coordination Project deployed in production on November 15 and transition is complete

SMART TRM Project Highlight: School District Income Tax



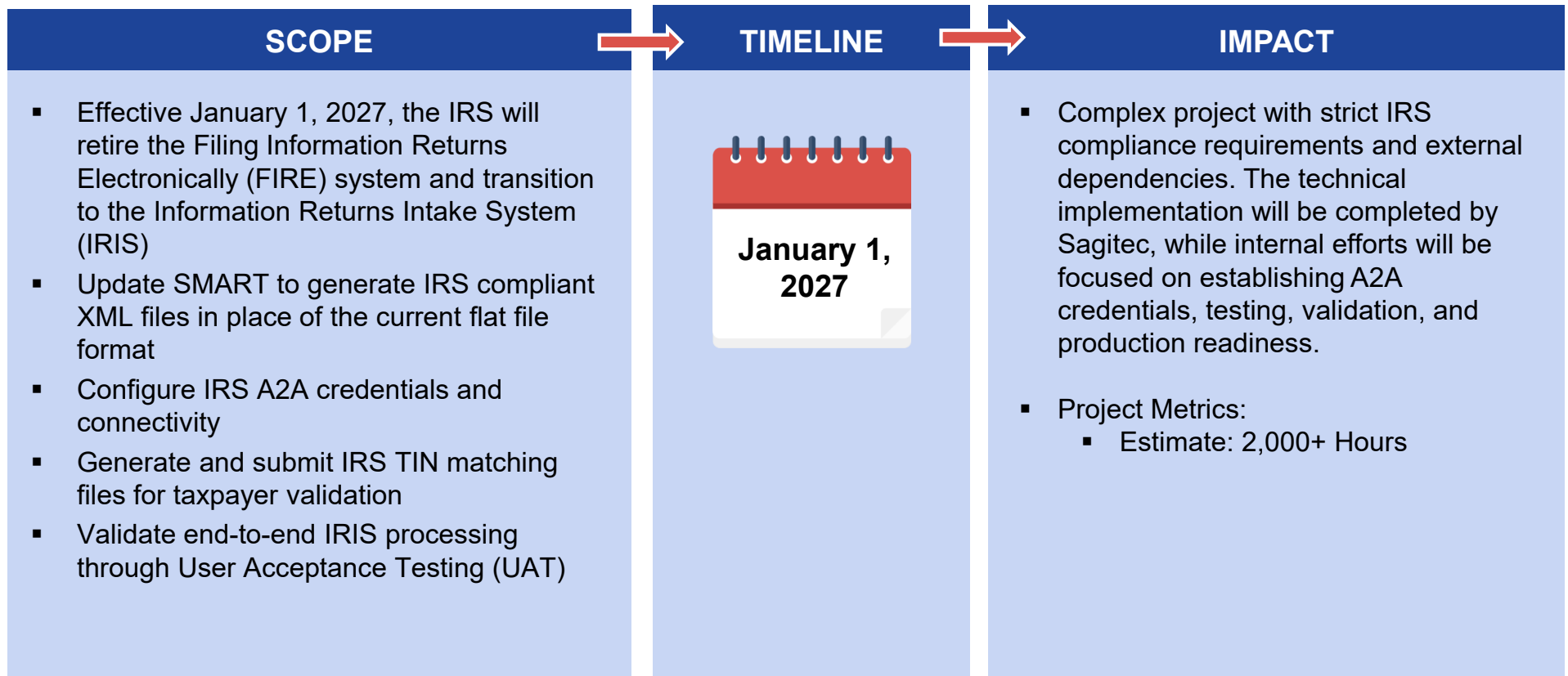
STATE OF OHIO MANDATE



SMART TRM Project Highlight: Information Returns Intake System (IRIS)



INTERNAL REVENUE SERVICE (IRS) MANDATE

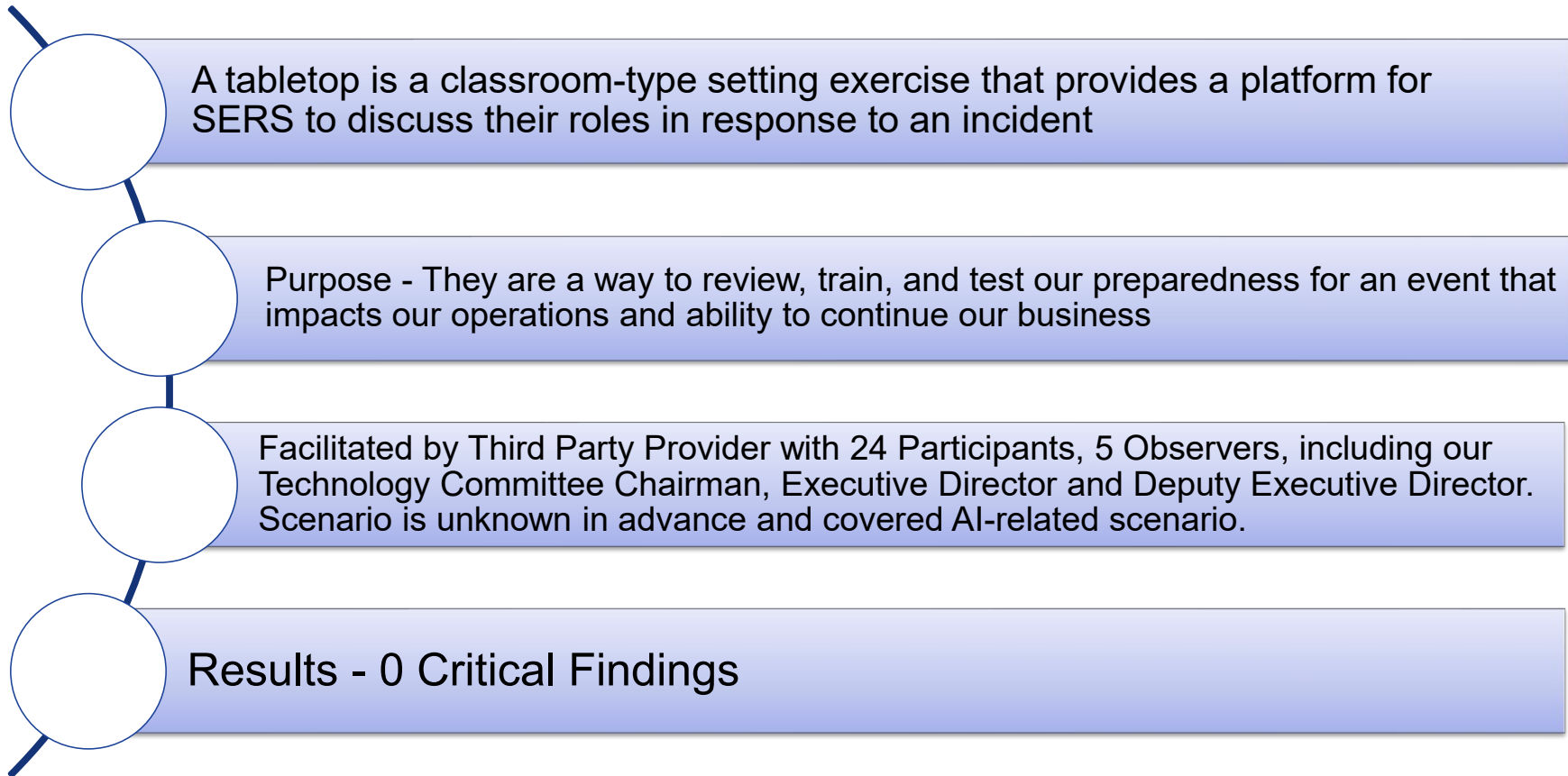




Risk Management

Q4

Cyber Incident Tabletop Held April 29



Fiduciary Audit Preparation



Focus Areas

- Board Governance & Administration
- Organizational Structure & Staffing
- Investment Policy & Oversight
- Legal Compliance
- Risk Management & Controls
- IT Operations

Draft RFP shared by ORSC; subcommittee appointed. Approval of the RFP is expected in June ORSC meeting. Estimated timing audit starts in Fall, estimated cost \$750K. The Fiduciary audit will require key attention in Q1 (prep), Q2 (fieldwork), and Q3 (final report & recommendations) of FY2027. *(timing is tentative)*

Previous Ohio Audits

- 2016 Full review with 2017 (SMART post go live Review) SERS (Funston)
- 2019 OPERS (Aon)
- 2022 STRS (Funston)
- 2022 OP&F (Funston)
- 2023 Highway Patrol (HPRS) (Funston)

Audit Recommendations

- SERS: 82 Recommendations
- OPERS: 56 Recommendations
- STRS: 194 Recommendations
- OP&F: 153 Recommendations
- HPRS: 94 Recommendations

FY2026 Other Q4 Highlights – Risk Management



- Executive, business, and functional leaders developing an executive dashboard to track enterprise-wide metrics, enhance visibility, and enable data-driven decision-making.
- Completed Attack and Penetration Test for eSERS employer portal with MSS member portal to be completed in June.
- ERM completed the Risk Register annual review, and the associated risks have been updated.
- The Clearwater investment compliance work continues with a focus on compliance with benchmarks and working with IAD to catch up on compliance reporting.
- Risk awareness training conducted in May All-Staff meeting.
- Supply chain risk monitoring resulted in 341 alerts related to vendors.
- Security and Validation Testing product acquired and implemented.



Only If Needed

EXECUTIVE SESSION

_____ moved and _____ seconded the motion that the Technology Committee convene in Executive Session pursuant to R.C. 121.22(G)(6) to discuss a security matter.

Upon roll call, the vote was as follows:

<u>ROLL CALL:</u>	<u>YEA</u>	<u>NAY</u>	<u>ABSTAIN</u>
Matthew King	_____	_____	_____
Aimee Russell	_____	_____	_____
Daniel Wilson	_____	_____	_____
Frank Weglarz	_____	_____	_____

IN EXECUTIVE SESSION AT _____ A.M./P.M.

RETURN TO OPEN SESSION AT _____ A.M. / P.M.



QUESTIONS/ FUTURE TOPICS

ADJOURNMENT(R)

_____ moved that the Technology Committee adjourn to meet on _____
for the next scheduled meeting.

The meeting adjourned at _____ p.m.

Frank Weglarz, Chair

Supplemental Materials

- **Technology Roadmap Budget**
- **Information Security Metrics**

Technology Roadmap Budget (Tracking to Plan)



Five Year Technology Roadmap Budget

Description	Total 5-Year Plan	FY23,FY24&FY25		FY2026 Plan*	FY2026 Spend to Date	Total Roadmap Spend to Date	Remaining Roadmap Amount **
		Actual Spend					
Telecommunications	\$ 250,000	\$ 327,657	\$ 50,000	\$ -	\$ 377,657	\$ (127,657)	
Security Stack	\$ 899,600	\$ 100,673	\$ 163,000	\$ 46,629	\$ 263,673	\$ 635,927	
Network Infrastructure Refresh	\$ 886,000	\$ 664,297	\$ 75,000	\$ -	\$ 739,297	\$ 146,703	
Hybrid Technology Replacement	\$ 419,000	\$ 351,251	\$ 87,000	\$ 182,982	\$ 438,251	\$ (19,251)	
Server Infrastructure	\$ 1,216,700	\$ 277,334	\$ 86,000	\$ 35	\$ 363,334	\$ 853,366	
Backup and Recovery	\$ 532,754	\$ 184,029	\$ 565,250	\$ -	\$ 749,279	\$ (216,525)	
SMART Portals	\$ 196,000	\$ 124,338	\$ -	\$ 57,400	\$ 124,338	\$ 71,662	
SMART Framework	\$ 760,000	\$ 615,000	\$ 100,000	\$ -	\$ 715,000	\$ 45,000	
SMART Enhancements	\$ 2,623,000	\$ 650,004	\$ 810,000	\$ 344,236	\$ 1,460,004	\$ 1,162,996	
SMART Business Tools	\$ 500,000	\$ 360,789	\$ 50,000	\$ 103,273	\$ 410,789	\$ 89,211	
SMART total	\$ 4,079,000	\$ 1,750,132	\$ 960,000	\$ 504,909	\$ 2,710,132	\$ 1,368,869	
Infrastructure Total	\$ 4,204,054	\$ 1,905,241	\$ 1,026,250	\$ 229,646	\$ 2,931,491	\$ 1,272,563	
Total Budget	\$ 8,283,054	\$ 3,655,372	\$ 1,986,250	\$ 734,555	\$ 5,641,622	\$ 2,641,432	

* The FY26 Budget was modified to include two security stack projects totaling 90,000

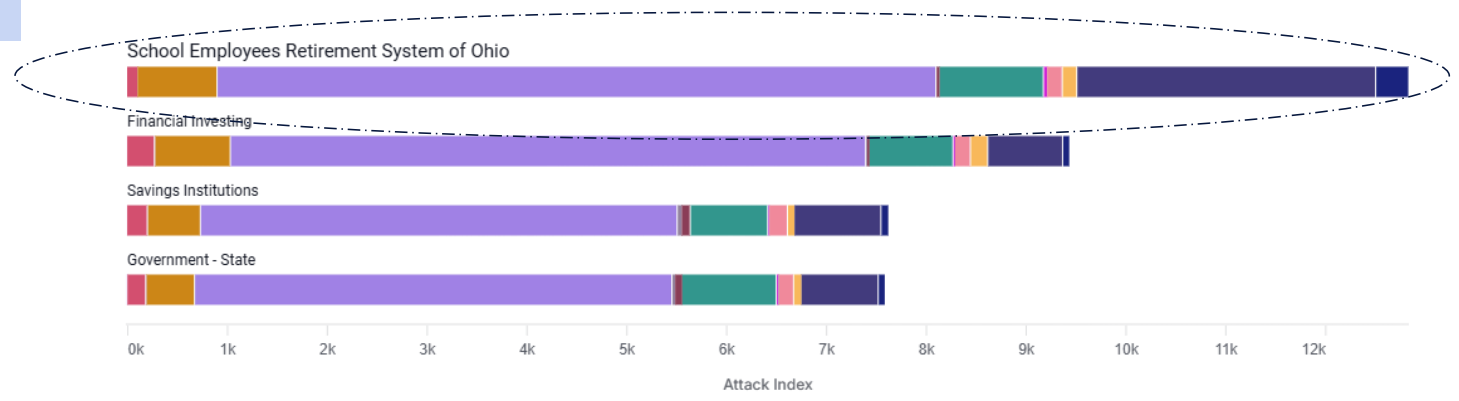
** Remaining Roadmap is equal the Total 5-Year Plan less FY2023, FY2024, &FY2025 Actuals, and less FY2026 Plan

Metrics: Targeted Attacks

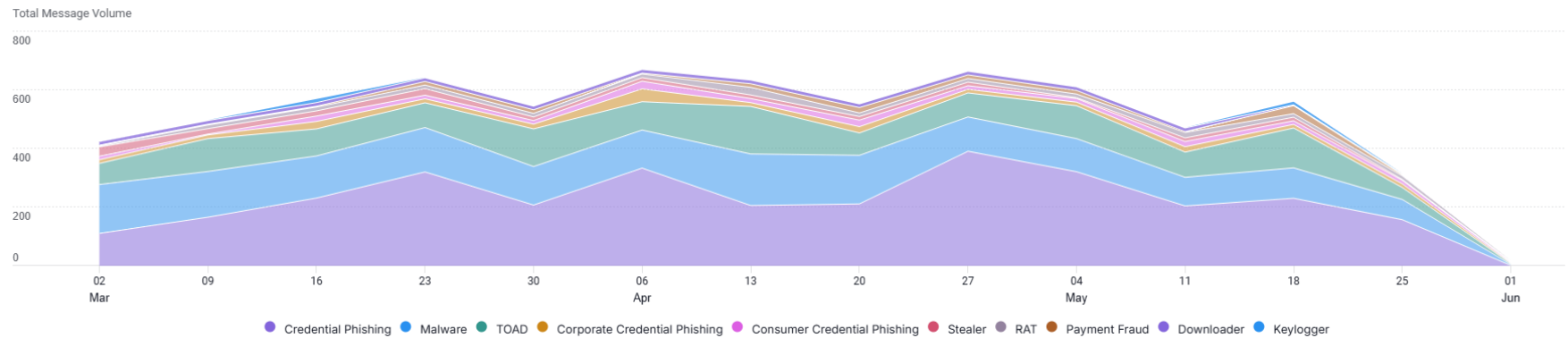
Attacks by Industry Show SERS Ranks Above Capital Markets, Banking and State Government



Types of Attacks by Industry



Attack Type Over 90 Days

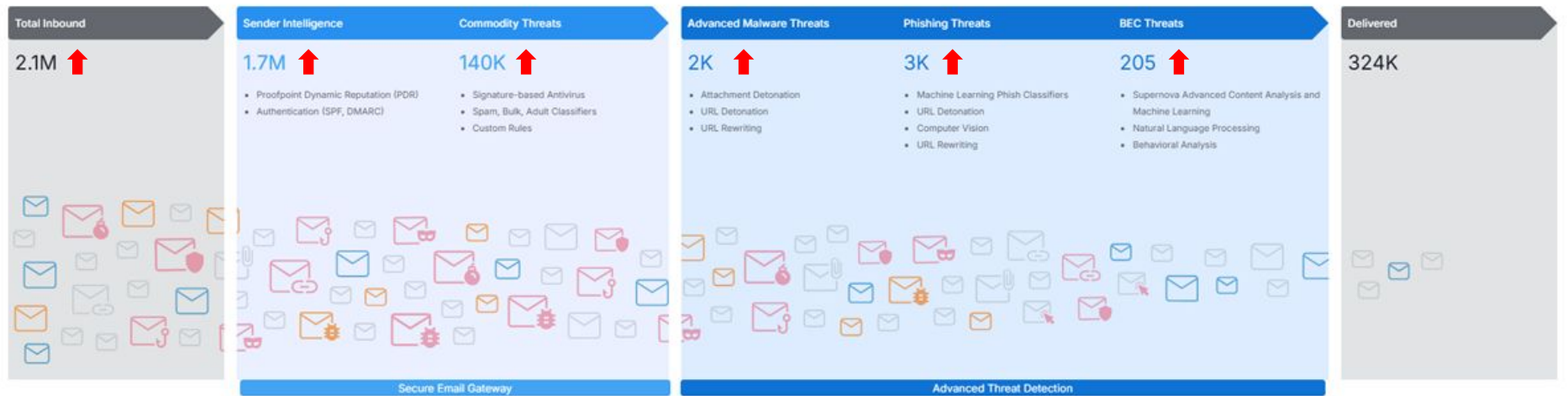


Metrics: Inbound Email and Blocked Messages



Reporting Period – 90 Days

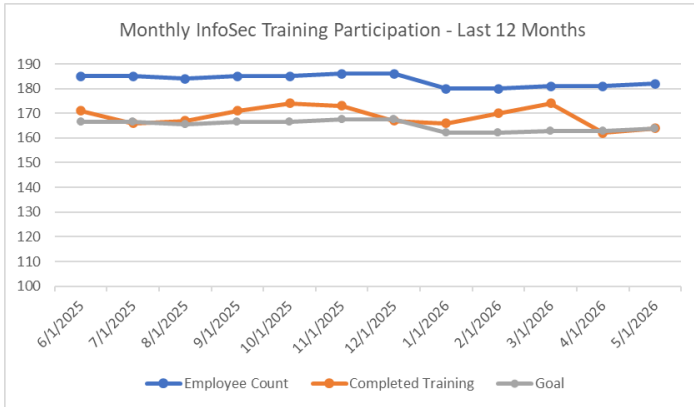
Inbound Email Protection Breakdown



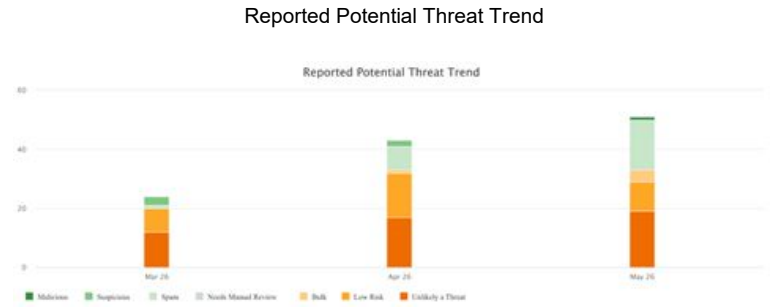
Specialized emails that are malicious is still a concern.

Metrics: Awareness and Training Strengthen Our Line of Defense

All three metrics have met target

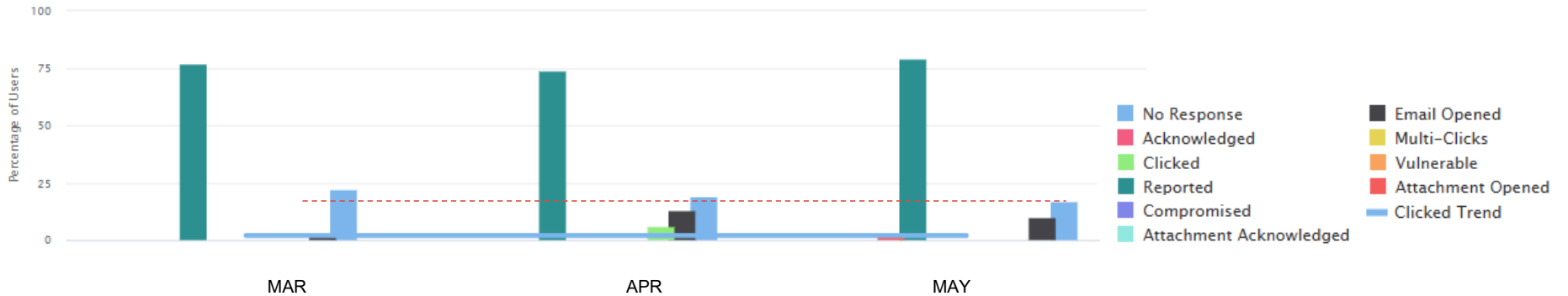


- Three Lines of Defense:**
1. Proofpoint
 2. Microsoft
 3. Staff



Security Awareness Training > 90% Goal - MET

Reported Email Response < 7-day goal - MET



Phishing Test Failure Rate < 5% - MET (added mandatory training after a failed test in response to high click rate)